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16569 U.S. PTO

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| Certificate of Mailing By "U.S. Express Mail" Under 37 C.F.R. 1.10(c) | |
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| "EXPRESS MAIL POST OFFICE TO ADDRESSEE" service under 37 C.F.R. 1.10 on the date indicated above and is addressed to the Assistant Commissioner For Patents, Washington, DC 20231. | |
| Name: <u>Peter Su</u> |  |
| <u>4-1-2004</u> | |
| Signature Date | |

22278 U.S. PTO
10/817105

040104

Docket No.: AVA-P045APPLICATION TRANSMITTAL LETTER**Mail Stop: Patent Application**

Commissioner of Patents

United States Patent and Trademark Office

Alexandria, VA 22313-1450

Sir:

Transmitted herewith for filing is the patent application of

Inventors: **Emmanuel AUDIC, Franck DEBLOCK, Elisabeth LECLERC, Gilles SORHOUEGARY, Alexandre SHERR and Henri Jean EGGER**Entitled: **"System and Method for a Compact Optical Receiver with Wide Dynamic Range"**19 No. pages of specification, including title page, claims and abstract6 No. sheets of X informal, formal drawings

Also enclosed are:

X Executed Combined Declaration and Power of Attorney for Patent ApplicationX An Original Executed Assignment of the ApplicationX Form PTO-1595 (Recordation Cover Sheet for Assignment)X Application Data Sheet (6 pages)X An Information Disclosure Statement (Form PTO-1449A and Form PTO-1449B)X A copy of References cited in Information Disclosure: 1 document(s)**FEES DUE** Applicant Claims Small Entity Status (37 CFR 1.27)

The fees due for filing the application pursuant to 37 C.F.R. 1.16 and for recording the Assignment, if any, are determined as follow:

| CLAIMS | | | | | |
|---|---------------|------------|--------------|------------------|-----------------|
| | No. of Claims | | Extra Claims | Rate | Fees |
| Basic Application Fee (\$770 large entity; \$385 small entity) | | | | | \$ 770.00 |
| Total Claims | 17 | Minus 20 = | 0 | X \$18 = | 0 |
| | | | | X \$ 9 (small) = | 0 |
| Total Independent Claims | 3 | Minus 3 = | 0 | X \$86 = | 0.00 |
| | | | | X \$43 (small) = | |
| If Multiple Dependent Claims are presented, add \$290.00 or \$145.00(small) | | | | | |
| If Assignment enclosed, add Assignment Recording Fee \$40.00 | | | | | 40.00 |
| TOTAL APPLICATION FEE DUE | | | | | \$810.00 |

PAYMENT OF FEESThe full fee due in connection with this communication is
and is provided as follows:**\$ 810.00**

- ☐ The Commissioner is hereby authorized to charge the fees associated with this communication or credit any overpayment to **Deposit Account No:** _____. A duplicate copy of this authorization is enclosed.
- ☐ Check Nos. _____ for the above-specified full fee is enclosed. However, in case Applicant inadvertently miscalculated any required fee, the Commissioner is hereby authorized to charge the necessary additional amount associated with this communication or credit any overpayment to **Deposit Account No:** _____. A duplicate copy of this authorization is enclosed.
- ☒ A Credit Card Authorization Form (PTO-2038) for the above-specified full fee is enclosed. However, in case Applicant inadvertently miscalculated any required fee, the Commissioner is hereby authorized to charge the necessary additional amount associated with this communication or credit any overpayment to **Deposit Account No:** 50-2967. A duplicate copy of this authorization is enclosed.

REQUEST AND CERTIFICATION UNDER 35. U.S.C. 122(b) (2) (B) (i)

- ☐ I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen month after filing. **I hereby request that the attached application not to be publish under 35 U.S.C. 122(b).**


This application is filed pursuant to 37 C.F.R. 1.53 in the name of the above-identified Inventor(s).

Please direct all correspondence concerning the above-identified application to the following address:

LAW OFFICES OF PETER C. SU
INTELLECTUAL PROPERTY ATTORNEY
5201 GREAT AMERICA PARKWAY, SUITE 320
SANTA CLARA, CA 95054

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Respectfully submitted,



PETER C. SU, ESQ.
Reg. No. 43,939



36430

PATENT TRADEMARK OFFICE

April 1, 2004
Date

Mail Stop: Patent Application
Commissioner of Patents
United States Patent and Trademark Office
Alexandria, VA 22313-1450

Re: U.S. Utility Patent Application

Application No.: (Not yet assigned); Filed 04-01-2004
Title: "System and Method for a Compact Optical Receiver
with Wide Dynamic Range"
Inventors: Emmanuel AUDIC, Franck DEBLOCK, Elisabeth LECLERC, Gilles
SORHOUEGARY, Alexandre SHERR and Henri Jean EGGER
Docket No.: AVA-P045

Sir:

The following documents are forwarded herewith for action by the U.S. Patent and Trademark Office:


1. U.S. UTILITY APPLICATION entitled: "System and Method for a Compact Optical Receiver with Wide Dynamic Range" having named inventors: Emmanuel AUDIC, Franck DEBLOCK, Elisabeth LECLERC, Gilles SORHOUEGARY, Alexandre SHERR and Henri Jean EGGER
 - a. a specification consisting:
 - (i) 12 pages prior to the claims, including title page;
 - (ii) 6 pages of claims;
 - (iii) 1 page abstract;
 - b. 6 sheets of informal drawings: (FIGs. 1-5);
2. An original, executed Combined Declaration and Power of Attorney by named inventors;
3. Form PTO-1082 (in duplicate);
4. Cover letter for Assignment (Form PTO-1595)
5. An original, executed Assignment to **AVANEX CORPORATION**, executed by named inventor, recordation of which is hereby requested;
6. Application Data Sheet (6 pages)
7. A return post card;
8. An Information Disclosure Statement (Form PTO-1449A and Form PTO-1449B);
9. A copy of References cited in Information Disclosure: 1 document(s); and
10. A Credit Card Authorization Form (PTO-2038) in the amount of \$810 to cover:

| | |
|--------------------------------|-----------|
| Patent application filing fee: | \$ 770.00 |
| Assignment Recordation fee: | \$ 40.00 |
| Excess claims fee: | \$ 0.00 |

It is respectfully requested that the attached postcard be stamped with the filing date of the above documents and unofficial application number and returned to the addressee as soon as possible.

Respectfully submitted,

April 1, 2004
Date


PETER C. SU, ESQ.
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